

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) Large Entity)**

Docket No.  
924.0001.U1(US)

In Re Application Of: Whitehead, S.

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
10/029,366

Filing Date  
12/19/2001

Examiner

Group Art Unit

Invention:

**Method And Apparatus Providing Convergent Solution To End-To-End, Adaptive Business Application Management**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
1/28/2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO 1535) (Large Entity)**

Docket No.  
924.0001.U1(US)

In Re Application Of: Whitehead, S.

JUN 10 2002  
PATENT & TRADEMARK OFFICE

Serial No.  
10/029,366

Filing Date  
12/19/2001

Examiner

Group Art Unit

Invention:

**Method And Apparatus Providing Convergent Solution To End-To-End, Adaptive Business Application Management**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

**COPY OF PAPERS  
ORIGINALLY FILED**

☒ Completion of application fees as calculated below:

All filing fees were previously charged to deposit account 50-1924.

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \_\_\_\_\_

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☒ Two months

☐ Three months

☐ Four months

☐ Five months

from: 3/28/2002  
Date

until: 5/28/2002  
Date

Total time extension fees \$400.00

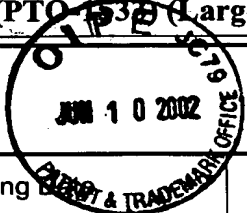
Total fees due \$400.00

# 3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO 1538 (Large Entity))**

Docket No.  
924.0001.U1(US)

In Re Application Of: Whitehead, S.



Serial No.  
10/029,366

Filing Date  
12/19/2001

Examiner

Group Art Unit

Invention:

**Method And Apparatus Providing Convergent Solution To End-To-End, Adaptive Business Application Management**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

COPY OF PAPERS  
ORIGINALLY FILED

The fee of 400 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1924  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1924  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: 5/28/2002

Mark F. Harrington (Reg. No. 31,686)

Customer No. 29683

Harrington & Smith, LLP  
1809 Black Rock Turnpike  
Fairfield, CT 06432  
(203) 366-4084

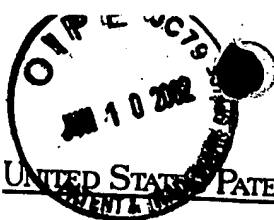
cc:

I certify that this document and fee is being deposited on 5/28/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Mark F. Harrington

Typed or Printed Name of Person Mailing Correspondence



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,366	12/19/2001	Susan Whitehead	924.0001.U1(US)

29683  
HARRINGTON & SMITH, LLP  
1809 BLACK ROCK TURNPIKE  
FAIRFIELD, CT 06432COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 3574

FORMALITIES LETTER



\*OC000000007370534\*

Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$258.
  - \$90 for 5 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1128.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,366	12/19/2001	Susan Whitehead	924.0001.U1(US)

 29683  
 HARRINGTON & SMITH, LLP  
 1809 BLACK ROCK TURNPIKE  
 FAIRFIELD, CT 06432

 COPY OF PAPERS  
 ORIGINALLY FILED

 CONFIRMATION NO. 3574  
 FORMALITIES LETTER


\*OC000000007677476\*

Date Mailed: 03/20/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/02/2002 to the Notice to File Missing Parts (Notice) mailed 01/28/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is missing.  
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

A copy of this notice **MUST** be returned with the reply.

Fianna L. Bessie  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE